



# 7/a  
7/20/03

PATENT  
Customer No. 22,852  
Attorney Docket No. 05997.0019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ross H. CORNELL

Application No.: 09/664,403

Filed: September 18, 2000

For: DATA PROCESSING SYSTEMS  
AND METHOD FOR CREATING  
EFFICIENT FLOATER CLASSES

)  
)  
) Group Art Unit: 2161  
)  
) Examiner: Dr. Geoffrey Akers, P.E.  
)  
)  
)  
)  
)

Commissioner for Patents  
Washington, DC 20231

Sir:

RECEIVED  
APR 24 2003  
GROUP 3600

AMENDMENT

In reply to the Office Action dated December 12, 2002, the period for reply having been extended for one month by a request for extension and fee payment filed concurrently herewith, please amend the application as follows:

IN THE CLAIMS:

Please cancel claims 1-6 and 11-26. Please amend claims 7, 27, 28, 31, 45, and 46, as follows:

7. (Amended) A data processing system, comprising:

a risk analysis and planning module that analyzes risk elements of interest-rate derivative and mortgage pool components, develops plans for structuring securities

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

av  
[Signature]